

FIN 3310 (Online)

Financial Institutions and Markets

SAM HOUSTON STATE UNIVERSITY

Instructor:	Steve Nenninger, PhD
Meeting Schedule	Online, Jan 17 to May 9
Semester	Spring, 2018
Office Number	SHB 210H
Email	san009@shsu.edu
Office Phone	294.1898
Office Hours	Tues/Thurs 11:00 – 12:30 and 2:00 – 3:00 or by appointment
Text:	recommended, not required: <i>Financial Markets & Institutions</i> ; Mishkin and Eakins, 8th Edition, Pearson Prentice Hall, 2014 (the 7 th edition is also fine)

Catalog Description and Credit Hours of Course:

This course will explore the structure of the financial system with emphasis on the role, operations, and regulations of financial institutions and markets, including international. The nature, participants, instruments, and relationships of the money and capital markets will be examined.

Course Learning Objectives:

- Discuss the function and purpose of the financial system.
- Explain interest rates and their role in valuation.
- Describe the structure of the Federal Reserve System and explain how it operates.
- Explain the basics of specific financial institutions.
- Discuss the basics of specific financial markets.

Basis for Student Evaluation:

Exams: Three online exams will be given, each including primarily multiple choice questions. Each exam is worth 100 points. See the exam dates posted in the class schedule. Exams must be taken during the “open window” through blackboard.

Homework: Homework will be assigned through blackboard for each chapter. Due dates for homework will be posted in blackboard and all homework must be turned in through blackboard. Homework solutions will be posted, so **late homework is not accepted**. Each assignment is worth 10 points and your lowest homework grade is dropped, so homework as a total makes up 25% of your final grade.

Grade Determination:

Item	Points
Exam 1	100
Exam 2	100
Exam 3	100
Homework Total	100
Total	400

Grade	Percentage	Points
A	90%	360
B	80%	320
C	70%	280
D	60%	240
F	below 60%	below 240

Academic Integrity: Any act of academic dishonesty will result in losing credit for the associated assignment and will also be referred to the necessary departments on campus.

Student absences on religious holy days policy: Section 51.911(b) of the Texas Education Code requires that an institution of higher education excuse a student from attending classes or other required activities, including examinations, for the observance of a religious holy day, including travel for that purpose. A student whose absence is excused under this subsection may not be penalized for that absence and shall be allowed to take an examination or complete an assignment from which the student is excused within a reasonable time after the absence. University policy 861001 provides the procedures to be followed by the student and instructor. A student desiring to be absent from a scheduled class in order to observe (a) religious holy day(s) shall present to each instructor involved a written statement concerning the religious holy day(s). This request must be made in the first fifteen days of the semester or the first seven days of a summer session in which the absence(s) will occur. The instructor will complete a form notifying the student of a reasonable timeframe in which the missed assignments and/or examinations are to be completed.

Disabled student policy: It is the policy of Sam Houston State University that no otherwise qualified disabled individual shall, solely by reason of his/her handicap, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any academic or Student Life program or activity. Disabled students may request help with academically related problems stemming from individual disabilities from their instructors, school/department chair, or by contacting the Chair of the Committee for Continuing Assistance for Disabled Students and Director of the Counseling Center, Lee Drain Annex, or by calling (936) 294-1720.

FINC 3310 Online Spring 2017

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Tentative Schedule

Updated 11-30-17

	Syllabus Quiz	Must be completed by Monday, Jan 22 (Chapter 2 homework grade)
1/17 – 1/29	Chapter 2	Overview of the Financial System
1/30 – 2/5	Chapter 9	Central Banks and the Federal Reserve System
2/6 – 2/12	Chapter 10	Conduct of Monetary Policy: Tools, Goals, Strategy, and Tactics
2/13 – 2/19	Chapter 17	Banking and the Management of Financial Institutions
2/20 – 2/26	Exam 1	Exam will open at 12:00 AM on Tuesday Feb 20 and is due by midnight on Monday, Feb 26
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2/27 – 3/5	Chapter 11	The Money Markets
3/6 – 3/19	Chapter 12	The Bond Market
3/20 – 3/26	Chapter 13	The Stock Market
3/27 – 4/2	Chapter 14	The Mortgage Markets
4/3 – 4/9	Exam 2	Exam will open at 12:00 AM on Tuesday April 3 and is due by midnight on Monday, April 9
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4/10 – 4/16	Chapter 20	The Mutual Fund Industry
4/17 – 4/23	Chapter 21	Insurance Companies and Pension Funds
4/24 – 4/30	Chapter 22	Investment Banks, Security Brokers and Dealers, and Venture Capital Firms
5/1 – 5/9	Exam 3	Exam will open at 12:00 AM Tuesday, May 1 and is due by midnight on Wednesday, May 9
