

Joseph Agins, CFE, CCEP, CECM

163 Hadley Creek Bend, Huntsville, TX 77320 | 602-330-4679 | joeagins@gmail.com

Education

Certificate in Ethics & Compliance Management, St Louis University, St Louis, MO - 2016

M.S. Administration of Justice, University of Phoenix, Phoenix, AZ - 2010

B.S. Business Management, University of Phoenix, Phoenix, AZ - 2004

A.A. General Studies, Mesa Community College, Mesa, AZ - 1998

Personal Statement

I am currently the Institutional Compliance Officer for Sam Houston State University, responsible for the development, implementation and maintenance of the University's new Institutional Compliance Program. I also Chair the University Compliance Committee and am a current member of the University's Staff Council. I have worked in the areas of corporate ethics, compliance, fraud and internal investigations for over 20 years, during which time I have gained expertise and an industry reputation. I have been regularly invited to speak at the national level on ethics, compliance, fraud and investigative best practices including a recent presentation for the Texas A&M University System in May of 2017.

Business Experience

Compliance Officer, Sam Houston State University, Huntsville, TX - July 2016 - Present

Director, Ethics & Compliance Investigations - Apollo Education Group, Inc., Phoenix, AZ - 2010 - 2016

Corporate Investigations Manager - Apollo Education Group, Inc., Phoenix, AZ - 2005 - 2010

Fraud Analyst - JPMorgan Chase, Tempe, AZ - 2003 - 2005

Senior Investigator - JPMorgan Chase, Tempe, AZ - 1996 - 2003

Professional Certifications

Certified Compliance & Ethics Professional (CCEP), Society of Corporate Compliance & Ethics, Minneapolis, MN - 2017

Certified in Ethics & Compliance Management (CECM), St. Louis University, St. Louis MO - 2016

Certified Fraud Examiner (CFE), Association of Certified Fraud Examiners, Austin, TX - 2006

FBI Compliance Academy graduate, Federal Bureau of Investigations, Washington D.C. - 2012

Professional Experience

Elected Member of the Board - Association of Certified Fraud Examiners, Austin, TX, 2014 - 2015

Founder - Arizona Ethics & Compliance Council, Phoenix, AZ, 2011 - Present

Board member - Arizona Chapter - Association of Certified Fraud Examiners, Phoenix, AZ, 2012 - 2014

Published Journal Articles

"Computer-assisted interviews: fact or folly?" (with R. Brody Ph.D. and M. Pickard Ph.D.) *Fraud Magazine*. 30(4), pp. 22-27. July 2015.

"Prepare for the unknown: Stay safe in the office or on the road." (with H. Giesberg) *Fraud Magazine*. 29(6), pp. 42-47. October 2014.

"Avoiding Burnout: Seven tips for all fraud examiners." *Fraud Magazine*. 28(3), pp. 56-57. May 2013.

"Transitioning from a law enforcement career to a corporate role." *The Eighteen Eleven Journal: Professional Journal of the Federal Law Enforcement Officers Association*. November 2013.

E-Publications

"10 Ways to Successfully Transition from Law Enforcement to Corporate Life." *ACFE Insights*. August 20, 2013. <http://acfeinsights.squarespace.com/acfe-insights/2013/8/20/10-ways-to-successfully-transition-from-law-enforcement-to-c.html?rq=Agins>

"Can improper investigation interviews lead to workplace violence?" *i-Sight*. July 16, 2013. http://i-sight.com/resources/can-improper-investigation-interviews-lead-to-workplace-violence/?goback=.gmp_2576171.gde_2576171_member_258451977

"10 Tips for Overcoming the Anxiety of Investigative Interviews." *i-Sight*. June 26, 2013. <http://i-sight.com/resources/10-tips-for-overcoming-the-anxiety-of-investigative-interviews/>

"The Softer Side of Internal Investigation Interviews." *i-Sight*. September 18, 2012. <http://i-sight.com/resources/the-softer-side-of-internal-investigation-interviews/>

"7 Ways to Improve Issue Spotting in Workplace Investigations." *i-Sight*. August 28, 2012. <http://i-sight.com/resources/7-ways-to-improve-issue-spotting-in-workplace-investigations/>

Invited Presentations

"The New Rules for Global Compliance and Ethics Programs." Invited panelist at the 22nd Annual Fraud Conference for the Association of Certified Fraud Examiners (ACFE), San Diego, California. June 2011.

"Student Fraud Forensics." Invited panelist at the Annual Conference for the National Association of Student Financial Aid Administrators (NASFAA), Boston, Massachusetts. July 2011.

“Preventing Student Aid Fraud - Profiles in Progress.” Invited speaker for the Spring Symposium for the Arizona Association of Student Financial Aid Administrators (AASFAA), Phoenix, Arizona. March 2012.

“Student Fraud Forensics.” Invited panelist for the Annual Conference for the National Association of Student Financial Aid Administrators (NASFAA), Chicago, Illinois. July 2012.

“SFA Fraud Scheme Detection, Prevention, & Best Practices.” Invited speaker for the Fall Conference for the Arizona Association of Student Financial Aid Administrators (AASFAA), Carefree, Arizona. October 2012.

“Preventing Student Aid Fraud - Profiles in Progress.” Invited speaker for the Annual Conference for the Western Association of Student Financial Aid Administrators (WASFAA), Phoenix, Arizona. May 2013.

“The Subjective Nature of Ethical Decision Making.” Invited panelist for the 24th Annual Fraud Conference for the Association of Certified Fraud Examiners (ACFE), Las Vegas, Nevada. June 2013.

“Preventing Student Aid Fraud: Safeguarding Your Institution.” Invited panelist for the Annual Conference for the National Association of Student Financial Aid Administrators (NASFAA), Las Vegas, Nevada. July 2013.

“Transformative Ethics: Ethics Means More than Compliance.” Invited speaker for the Annual Financial Institutions Fraud and Security Seminar for the Arizona Bankers Association (AzBA), Phoenix, AZ. September 2013.

“Financial Aid Data Analysis and Fraud Prevention and Detection - Strengthening Your Compliance Programs.” Invited speaker for the Higher Education Conference of the Society for Corporate Compliance and Ethics (SCCE), Austin, Texas. June 2014.

“Tips and Tricks for Internal Helplines and Investigations.” Invited speaker for the Utilities Industry Group of the Ethics and Compliance Officers Association (ECO), Web-based presentation. August 2014.

“Emerging Trends in Fraud.” Invited speaker for the Annual Fraud Training for the Arizona chapter of the Institute of Internal Auditors (IIA), Phoenix, Arizona. 2014.

“Infusing Traditional Investigative Techniques into the Audit Process.” Invited speaker at the Annual Fraud Training for the Arizona chapter of the Institute of Internal Auditors (IIA), Phoenix, Arizona. 2014.

“Best Practices for Conducting Internal Investigations.” Invited speaker at the Phoenix Regional Compliance & Ethics Conference for the Society of Corporate Compliance and Ethics (SCCE), Scottsdale, Arizona. February 2014.

“Combatting Fraud Rings While Protecting Financial Aid for True Students.” Invited speaker at the Annual conference for the Distance Education Accrediting Commission (DEAC), Chicago, IL. April 2015.

“Board of Regents Update.” Invited speaker at the monthly meeting for the Arizona Chapter of the Association of Certified Fraud Examiners (ACFE), Phoenix, AZ. October 2015.

“Etica y Compliance.” Keynote speaker at the 8° Seminario Nacional para la Prevención y Disuasión del Fraude (ACFE), Mexico City, Mexico. November 2015.

“Best Practices in Compliance Monitoring and Auditing.” Invited panelist for the Today’s General Counsel and Institute Conference, Houston, TX. April 2017.

“Risk Exposed: What Organizations Failed to Anticipate.” Invited speaker for SHSU Staff Council’s Annual Professional Development Conference, Huntsville, TX. March 2017.

“What Your Hotline Data Can Tell You About Your Culture.” Invited by the Texas A&M to speak to staff at their annual compliance conference, College Station, TX. May 2017.

Professional Development Activities – Memberships

Association of Certified Fraud Examiners (ACFE), 2006 - Present

Society for Corporate Compliance & Ethics (SCCE), 2017 - Present

Ethics & Compliance Officers Association (ECO) now ECI, 2011 - Present

Arizona Ethics & Compliance Council (AECC), 2011 - Present

Greater Houston Business Ethics Roundtable (GHER), 2016 - Present

Rotarian, Rotary Club of Huntsville Texas 5910, 2016- Present

Staff Council Member, Sam Houston State University, 2017 - Present

Chair - University Compliance Committee, Sam Houston State University, 2017 - Present